### **PATENT**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Wei-En Chien, et al. 5

> Patent No.: 6,958,496

Issue Date:

10/25/2005

Serial No.:

10/791,765

Filing Date:

03/04/2004

Examiner:

OWENS DOUGLAS W

Art Unit:

2811

Docket No.: KYCP0089USA

10

Title: LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING ENHANCED

**BRIGHTNESS** 

To:

Commissioner for Patents

15

P.O. BOX 1450

Alexandria, VA 22313-1450

Subject:

Status Inquiry of the Request to Correct Inventorship under 37 CFR

1.324(b)

20

Dear Sir,

The undersigned agent submitted a request to correct inventorship under 37 CFR 1.324(b) on 07/13/2007 to add Pai-Hsiang Wang as the fourth inventor of the above 25 identified utility patent (Attachment 1). The processing fee \$130.00 for correcting inventorship in a patent set forth in 37 CFR 1.20(b) was deducted from the deposit account 50-3105 on 07/13/2007 (Attachment 2) and Pai-Hsiang Wang was recorded as one of the assignor on 07/06/2007 (Attachment 3). However, Pai-Hsiang Wang has not been added as one of the inventors of the above identified patent so far. 30

The undersigned agent hereby requests the USPTO to add Pai-Hsiang Wang as

the fourth inventor of the above identified patent and issue the Certificate of Correction accordingly as soon as possible. Your prompt reply will be greatly appreciated.

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Respectfully submitted,

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1/1/1/2000 tolall	D-4	10/09/2008	
O C C C C C C C C C C C C C C C C C C C	Date:	10/09/2008	

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail: winstonhsu@naipo.com

15 (Note: Note: Please leave a message in my voice mail if you need to talk to me. The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan)

### Attachment 1

PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Wei-En Chien et al.

Appl. No.: 10/791,765 Filing Date: 03/04/2004

Examiner: OWENS, DOUGLAS W Art Unit: 2811

Docket No.: KYCP0089USA

10

Title: LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING

ENHANCED BRIGHTNESS

To: Commissioner for Patents

15 P.O. BOX 1450

Alexandria, VA 22313-1450

Subject: Request to Correct Inventorship under 37 CFR

1.324(b)

20

25

Dear Sir,

I hereby request that <u>Pai-Hsiang Wang</u> should be added as one of the inventors of the above-identified patent under 37 CFR 1.324(b). The following documents are attached with this request:

- (1) A statement from <a href="Pai-Hsiang Wang">Pai-Hsiang Wang</a> as the inventor to be added;
- 30 (2) A statement from the current named inventors agree to the change of inventorship; and
  - (3) A written consent from the assignee.

The processing fee \$130.00 required by 37 CFR 1.20(b) is submitted herewith. Please add <u>Pai-Hsiang Wang</u> as one of the inventors and issue the Certificate of Correction as soon as possible. Your quick response will be appreciated.

Respectfully submitted,

Wenton tav Date: 07/13/2007	Weinton Hars	Date: _	07/13/2007
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10 Winston Hsu, Patent Agent No. 41,526
P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562 Facsimile: 806-498-6673

e-mail: winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

20

15

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Wei-En Chien et al.

Appl. No.: 10/791,765 Filing Date: 03/04/2004 Patent No.: US 6,958,496 B2 Issue Date: 10/25/2005

Examiner: OWENS, DOUGLAS W Art Unit: 2811

Docket No.: KYCP0089USA

10

Title: LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING

ENHANCED BRIGHTNESS

To: Commissioner for Patents

15 P.O. Box 1450

Alexandria VA 22313-1450

Subject: Correction of Inventorship

- Statement from the Added Inventor

20

Dear Sir:

I hereby state that I am one of the actual inventors of the above-identified patent. Owing to a clerical error, I was inadvertently omitted from the application papers. The inventorship error occurred without any deceptive intention on my part. Acceptance of adding me as one of the actual inventors is amicably requested.

30 Sincerely,

Pai Hsiang, Wang Date: >007/05/29

Pai-Hsiang Wang

35 Address: 5 Li-hsin 5th Rd., Science-based Industrial Park, Hsinchu 300, Taiwan, R.O.C.
Citizen of Taiwan, R.O.C.

#### PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Wei-En Chien et al.

Appl. No.: 10/791,765 Filing Date: 03/04/2004

Patent No.: US 6,958,496 B2 Issue Date: 10/25/2005

Examiner: OWENS, DOUGLAS W Art Unit: 2811

Docket No.: KYCP0089USA

10

Title: LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING

ENHANCED BRIGHTNESS

To: Commissioner for Patents

15 P.O. Box 1450

Alexandria VA 22313-1450

Subject: Correction of Inventorship

- Statement from the current named inventors agree

20 to the change of inventorship

Dear Sir:

of the above-identified patent. Pai-Hsiang Wang and we were commonly responsible for developing the invention described and claimed in the above-identified patent. The agent missed to list Pai-Hsiang Wang as one of the actual inventors while preparing the original Declaration and Assignment document.

We all have not noticed this error until the agent informed us that Pai-Hsiang Wang was missed in the letters patent. We hereby declare that the error that Pai-Hsiang Wang was missed as one of the actual inventors was occurred without any deceptive intention and we all agree to add Pai-Hsiang Wang as one of

Sincerely,	
Wei-En chien	Date: 2007. 5. 28
Wei-En Chien	
Address: No. 105, Lane 111, Shuan	-Hsin St., Din-Liao Li, Chia-I
City, Taiwan, R.O.C.	
Citizen of Taiwan, R.O.C.	
Chih-Sung Chang	
Address: 5 Li-hsin 5th Rd., Sc	Tence-based Industrial Land,
Hsinchu 300, Taiwan, R.O.C.	
Citizen of Taiwan, R.O.C.	
Tzer-Perng Chen Tzer-Perng Chen	Date: 2007. 6. /
Address: 5 Li-hsin 5th Rd., Sc	ience-based Industrial Park,

Hsinchu 300, Taiwan, R.O.C. Citizen of Taiwan, R.O.C.

the actual inventors in the above-identified patent.

## PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5	Applicant:	Wei-En Chien et al.
	Appl. No.:	10/791,765 Filing Date: 03/04/2004
	Patent No.	: US 6,958,496 B2 Issue Date: 10/25/2005
	Examiner:	OWENS, DOUGLAS W Art Unit: 2811
	Docket No.	: KYCP0089USA
10		
		LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING ENHANCED BRIGHTNESS
		Commissioner for Patents
15		P.O. Box 1450 Alexandria VA 22313-1450
	Subject:	Correction of Inventorship - Written Consent of Assignee
20		
		e authority to act on behalf of the assignee of the
		tified patent application, namely EPISTAR
		N, located in the address: 5 Li-hsin 5th Rd.,
		sed Industrial Park, Hsinchu 300, Taiwan, R.O.C.,
25	I agree tha	t Pai-Hsiang Wang being added as the actual inventor
	of the abo	ove-identified patent.
	For and or	behalf of EPISTAR CORPORATION
		had
30	Signature:	
	Printed Na	ame: Biing-Jye Lee
		President
	Date:	June 6. 2007

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b) I hereby appoint: 27765 ✓ Practitioners associated with the Customer Number: Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used): Registration Name Registration Name Number Number as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b). Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to: 27765 The address associated with Customer Number: ORFirm or Individual Name Address State Zip City Country Telephone Fmail Assignee Name and Address: **EPISTAR CORPORATION** 5 Li-hsin 5th Rd., Science-based Industrial Park, Hsinchu, Taiwan, R.O.C. A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed. SIGNATURE of Assignee of Record The individual whose signature and title is supplied below is authorized to act on behalf of the assignee Signature Date June 6, 2007 +886-3-5783078 Biing-Jye LEE Telephone President

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/96 (09-08)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Wei-En Chien, Chih-Sung Chang, Tzer-Perng Chen, Pai-Hsiang Wang Application No./Patent No.: US 6,958,496 B2 Filed/Issue Date: 10/25/2005 Entitled: LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING ENHANCED BRIGHTNESS corporation **EPISTAR CORPORATION** (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) (Name of Assignee) states that it is: 1. 🗸 the assignee of the entire right, title, and interest; or 2. an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is\_ in the patent application/patent identified above by virtue of either: A An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded \_ or for which a copy in the United States Patent and Trademark Office at Reel \_\_, Frame \_\_\_ thereof is attached. B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows: 1. From: Wel-En Chien, Chih-Sung Chang, Tzer-Perng Chen To: UNITED EPITAXY COMPANY, LTD. The document was recorded in the United States Patent and Trademark Office at , or for which a copy thereof is attached. \_, Frame <u>0707</u> Reel 015048 To: EPISTAR CORPORATION 2. From: UNITED EPITAXY COMPANY, LTD. The document was recorded in the United States Patent and Trademark Office at , or for which a copy thereof is attached. \_, Frame \_0292 Reel 017746 Wei-En Chien, Chih-Sung Chang, To: EPISTAR CORPORATION 3. From: Tzer-Perng Chen, Pai-Hsiang Wang The document was recorded in the United States Patent and Trademark Office at \_, or for which a copy thereof is attached. \_, Frame \_ Reel Additional documents in the chain of title are listed on a supplemental sheet. As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. June 6 Date Signature +886-3-5783078 Biing-Jye LEE Telephone Number Printed or Typed Name

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Title

President

# **ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration.

Name:	Wei-En Chien	_Nationality:	R.O.C.		
Name:	Chih-Sung Chang	Nationality:	R.O.C.		
		_			
Name:	Tzer-Perng Chen	Nationality:	R.O.C.		
Name:	Pai-Hsiang Wang	_Nationality:	R.O.C.		
Hereby sells, a	ssigns and transfers to				
ASSIGNEE(S	):				
Name:	EPISTAR CORPORATION				
Address: 5 Li-hsin 5th Rd., Science-based Industrial Park, Hsinchu 300, Taiwan, R.O.C.					
and the successors and assignees of the ASSIGNEE the entire right, title, and interest in and to any and all improvements which are disclosed in the invention entitled:  "LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING ENHANCED BRIGHTNESS"					
Which is four	nd in:				
(a)	U.S. patent application executed on even date				
(b) U.S. patent application executed on					
(c)	(c) U.S. application serial no				
(d)+	patent no. <u>US 6,958,496 B2</u> issued <u>10/25/2009</u>	5			

ASSIGNOR(S) (Inventors):

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuations, continuation-in-part, divisions, renewals, substitutes, or extensions thereof, and as to Letters Patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the proposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this <u>以上 0 5 2007</u> (Date of signing). (請發明人務必簽署上列日期・)

(Type name of inventor)	Signature of INVENTOR
Wei-En Chien	Wei-En Chien
Chih-Sung Chang	Chih- sung Chang
Tzer-Perng Chen	Tzer-Perna Chen
Pai-Hsiang Wang	Pai Hsiang, Wang

# Attachment 2

07/12 160 11307213	HHIP0090USA	1501	\$1,400.00	\$28,507.00
07/12 161 11307213	HHIP0090USA	1504	\$300.00	\$28,207.00
07/12 42 10349124	TJK/330	1801	\$790.00	\$27,417.00
07/12 43 PAYMEN	T	9203	-\$23,550.00	\$50,967.00
07/13 6188 11777280	NTCP0110USA	1011	\$300.00	\$50,667.00
07/13 6190 11777280	NTCP0110USA	1311	\$200.00	\$50,467.00
07/13 6189 11777280	NTCP0110USA	1111	\$500.00	\$49,967.00
07/13 6205 11777280	NTCP0110USA	8021	\$40.00	\$49,927.00
07/13 6306 10791765	3232-8	1808	\$130.00	\$49,797.00
 07/13 6320 11777288	MTKP0464USA	1011	\$300.00	\$49,497.00
07/13 6321 11777288	MTKP0464USA	1111	\$500.00	\$48,997.00
07/13 6322 11777288	MTKP0464USA	1311	\$200.00	\$48,797.00
07/13 6323 11777288	MTKP0464USA	1201	\$200.00	\$48,597.00
07/13 6328 11777288	MTKP0464USA	8021	\$40.00	\$48,557.00
07/13 118 11261504	CAMP0005USA	2801	\$395.00	\$48,162.00
07/13 212 10906544	AUOP0052USA	1501	\$1,400.00	\$46,762.00
07/13 213 10906544	AUOP0052USA	1504	\$300.00	\$46,462.00
07/16 6707 10709735	MTKP0165USA	1201	\$400.00	\$46,062.00
07/16 6708 10709735	MTKP0165USA	1251	\$120.00	\$45,942.00
07/16 6710 10908067	FTCP0051USA	1252	\$450.00	\$45,492.00
07/16 6748 60864157	ASTP0220USA	8007	\$20.00	\$45,472.00
07/16 6758 60942211	ANPP0017USA	8007	\$20.00	\$45,452.00
07/16 6765 60946386	ANPP0018USA	8007	\$40.00	\$45,412.00
07/16 6870 10249891	UNIP0001USA	8021	\$40.00	\$45,372.00
07/17 38 11611893	AITP0019USA1	2501	\$700.00	\$44,672.00
07/17 39 11611893	AITP0019USA1	1504	\$300.00	\$44,372.00
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07/17 5899 11778628	NAUP0900USA	1111	\$500.00	\$43,572.00
07/17 5901 11778628	NAUP0900USA	1202	\$550.00	\$43,022.00
07/17 5900 11778628	NAUP0900USA	1311	\$200.00	\$42,822.00
07/17 5959 60950106	ASTP0311USA	1005	\$200.00	\$42,622.00
07/17 5968 11778635	NAUP0916USA	1011	\$300.00	\$42,322.00
07/17 5969 11778635	NAUP0916USA	1111	\$500.00	\$41,822.00
07/17 5970 11778635	NAUP0916USA	1311		\$41,622.00
07/17 5971 11778635	NAUP0916USA	1202	\$250.00	\$41,372.00
07/17 5972 11778635	NAUP0916USA	1201	\$400.00	\$40,972.00
07/17 6025 11778635	NAUP0916USA	8021	\$40.00	\$40,932.00
07/17 6034 11778628	NAUP0900USA	8021	\$40.00	\$40,892.00
07/17 6037 11778639	ACMP0131USA1	1011	\$300.00	\$40,592.00
07/17 6038 11778639	ACMP0131USA1	1111	\$500.00	\$40,092.00
07/17 6039 11778639	ACMP0131USA1	1311	\$200.00	\$39,892.00
07/17 6130 11778645	MTKP0467USA	1011	\$300.00	\$39,592.00
07/17 6131 11778645	MTKP0467USA	1111	\$500.00	\$39,092.00
07/17 6132 11778645	MTKP0467USA	1311	\$200.00	\$38,892.00
07/17 6230 11778650	NAUP0892USA			\$38,592.00
07/17 6231 11778650	NAUP0892USA	1111		\$38,092.00
07/17 6232 11778650	NAUP0892USA			\$37,892.00
07/17 6233 11778650	NAUP0892USA			\$37,842.00
07/17 6235 11778650	NAUP0892USA			\$37,802.00
07/17 6237 11763454	MTKP0568USA			\$37,762.00
07/17 6242 11626854	MSTP0061USA			\$37,722.00

### Attachment 3



#### **United States Patent and Trademark Office**

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### Assignments on the Web > Patent Query

## Patent Assignment Abstract of Title

NOTE:Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

**Total Assignments: 3** 

Patent #: 6958496

Issue Dt: 10/25/2005

Application #: 10791765

Filing Dt: 03/04/2004

**Publication #: 20050082555** 

Pub Dt: 04/21/2005 Inventors: Wei-En Chien, Chih-Sung Chang, Chen Tzer-Perng

Title: LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING ENHANCED BRIGHTNESS

Assignment: 1

Reel/Frame: 015048/0707

Recorded: 03/04/2004

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: CHIEN, WE-EN

Exec Dt: 11/28/2003 Exec Dt: 11/28/2003

CHANG, CHIH-SUNG CHEN, TZER-PERNG

Exec Dt: 11/28/2003

Assignee: UNITED EPITAXY COMPANY, LTD.

9F, NO. 10, LI-HSIN RD.

SCIENCE-BASED INDUSTRIAL PARK

HSINCHU, TAIWAN R.O.C.

Correspondent: TROXELL LAW OFFICE PLLC

BRUCE H. TROXELL 5205 LEESBURG PIKE

**SUITE 1404** 

FALLS CHURCH, VA 222041

**Assignment: 2** 

Reel/Frame: 017746/0292

Recorded: 04/05/2006

Pages: 5

Conveyance: MERGER (SEE DOCUMENT FOR DETAILS).

Assignor: UNITED EPITAXY COMPANY, LTD.

Exec Dt: 11/25/2005

Assignee: EPISTAR CORPORATION

NO. 5, LI-HSIN 5TH ROAD

SCIENCE-BASED INDUSTRIAL PARK

HSINCHU, TAIWAN R.O.C.

Correspondent: TROXELL LAW OFFICE PLLC

5205 LEESBURG PIKE, SUITE 1404 FALLS CHURCH, VIRGINIA 22041

Assignment: 3

Reel/Frame: 019520/0138

Recorded: 07/06/2007

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: CHIEN, WEI-EN

Exec Dt: 07/05/2007 Exec Dt: 07/05/2007

CHANG, CHIH-SUNG

Exec Dt: 07/05/2007

CHEN, TZER-PERNG WANG, PAI-HSIANG

Exec Dt: 07/05/2007

Assignee: EPISTAR CORPORATION

5 LI-HSIN 5TH RD., SCIENCE-BASED INDUSTRIAL PARK

HSINCHU, TAIWAN

Correspondent: WINSTON HSU

P.O.BOX 506

MERRIFIELD, VA 22116

Search Results as of: 10/01/2008 05:40 AM If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.0.1

Web interface last modified: April 20, 2007 v.2.0.1

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